SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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## Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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of Reporting Person*							<u>) /OR/</u>	[ POR ]	l	
(First) I STREET	(Middle)			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner				<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> </ul>		
OR	97204				Officer (give title below)	Other (spec	ify below)		K Form filed by C	In Philing (Check Applicable Line) One Reporting Person Nore than One Reporting Person
(State)	(Zip)									
		Table I -	Non-Deri	vative S	ecurities Beneficially Ow	ned				
1. Title of Security (Instr. 4)						3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
					4,000(1)	D				
1. Title of Derivative Security (Instr. 4)		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underly Security (Instr. 4)		Conver or Exer		ercise	cise or Indirect (I)	6. Nature of Indirect Beneficia Ownership (Instr. 5)
				Title		Amount			(insu. ə)	
	(First) STREET OR (State) str. 4)	(First) (Middle) STREET OR 97204 (State) (Zip) str. 4)	Independing Forsion     Statement (Month/Day 01/01/2021       (First)     (Middle)       STREET     01/01/2021       OR     97204       (State)     (Zip)       Table 1 -       str. 4)       Construction       Table 1 -       Table (e.g., putS)       Table (e.g., putS)       Construction       Table (E.g., putS)	Statement (Month/Day/Year) (First) (Middle) STREET OR 97204 (State) (Zip) Table I - Non-Deriva (e.g., puts, calls, wa ecurity (Instr. 4) 2. Date Exercisable and Expiration Date	Statement (Month/Day/Year) (First) (Middle) STREET OR 97204 (State) (Zip) Table I - Non-Derivative S str. 4) Carter Construction Statement (Month/Day/Year) (Check al X Statement (Month/Day/Year) 101/01/2021 4. Relation (Check al X Statement (Month/Day/Year) 2. Amount Owned (Interpretation Statement (Month/Day/Year) (Check al X Statement (Month/Day/Year) 2. Amount Owned (Interpretation Statement (Month/Day/Year) (Check al X Statement (Month/Day/Year) (Check al S	Statement (Month/Day/Year) (First) (Middle) STREET OR 97204 (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned (Instr. 4) 2. Amount of Securities Beneficially Owned (Instr. 4) Cecurity (Instr. 4) 2. Director Comparison of the securities of the securities beneficially Owned (Instr. 4) Cecurity (Instr. 4)	Statement (Month/Day/Year) 01/01/2021       Statement (Month/Day/Year) 01/01/2021       PORTLAND GENERAL ELECTRIC CO 4. Relationship of Reporting Person(s) to Issuer (Check all applicable)         STREET       4. Relationship of Reporting Person(s) to Issuer (Check all applicable)         OR       97204         (State)       (Zip)         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         Statement (Month/Day/Year) 01/01/2021         PORTLAND GENERAL ELECTRIC CO (Check all applicable)         X       Director         OWNED         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)         Cervity (Instr. 4)         Everition Date	Statement (Month/Day/Year) 01/01/2021       Statement (Month/Day/Year) 01/01/2021       PORTLAND GENERAL ELECTRIC CO /OR/ 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title below) Other (specify below)         OR       97204         (State)       (Zip)         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         Comparison of the securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)         ecurity (Instr. 4)         2. Date Exercisable and Expiration Date       3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)       4. Or Exercisable and Expiration Date	Statement (Month/Day/Year)       Statement (Month/Day/Year)       PORTLAND GENERAL ELECTRIC CO /OR/ [POR]         (First)       (Middle)         STREET       4. Relationship of Reporting Person(s) to Issuer (Check all applicable)       5. If //         OR       97204         (State)       (Zip)         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         S. Amount of Securities Beneficially Owned (Instr. 4)         Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)         Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)         acurity (Instr. 4)         2. Date Exercisable and Expiration Date       3. Tite and Amount of Securities Underlying Derivative Security (Instr. 4)	(First)       (Middle)         STREET       4. Relationship of Reporting Person(s) to Issuer (Check all applicable)       5. If Amendment, Date of 6. Individual or Joint/Grou X Director         OR       97204         (State)       (Zip)         Table I - Non-Derivative Securities Beneficially Owned         Summer to indirect (I) (Instr. 5)         Ownership Form: (Instr. 6)         Statement (Month/Day/Year)         Statement (Month/Day/Year)         Statement (Month/Day/Year)         ORTILAND GENERAL ÉLECTRIC CO /OR/ [POR]         Street         Street         Street         Street         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         Street         Street         Table I - Non-Derivative Securities Beneficially Owned (Instr. 4)         Owner (Instr. 4)         Street         Street         Table I - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities         Conversion Or Exercise         Street (Instr. 4)         Strevise Securities Underlying Derivative (e.g.

of Responses Expl

1. Shares of POR stock were purchased by Ms. Farrell prior to joining PGE's Board of Directors

Remarks:

Karen J. Lewis Power of Attorney on behalf of Dawn L. Farrell \*\* Signature of Reporting Person

Date

01/03/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## LIMITED POWER OF ATTORNEY FOR SECTION 16 REPORTING OBLIGATIONS

KNOW ALL MEN BY THESE PRESENTS, that I, Dawn L. Farrell, hereby make, constitute and appoint each of Angelica Espinosa, Jardon T. Jaramillo and Karen J. Lewis, each acting individually, as my true and lawful attorney-in-fact, with full power and authority as hereinafter described on behalf of and in my name, place and stead to:

(1) prepare, execute, acknowledge, deliver and file the Form ID (including obtaining my CIK, CCC, PMAC, Passphrase and Password codes) and all Forms 3, 4, and 5 (including any amendments thereto) with respect to the securities of Portland General Electric Company, an Oregon corporation (the "Company"), with the United States Securities and Exchange Commissions, New York Stock Exchange (or any other applicable national securities exchange, trading association or Similar entity) and the company, as considered necessary or advisable under Section 16(a) of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder (the "Exchange Act"); (2) seek or obtain, as my representative and on my behalf, information on transactions in the Company's securities from any third party, including brokers, employee benefit plan administrators and trustees, and I hereby authorize any such persons to release any such information and approve and ratify any such release of information; and
 (3) perform any and all other acts which in the discretion of such attorney-in-fact to act in his or her discretion in and about the foregoing matters on information provided to such attorney-in-fact without independent verification of such information;

I acknowledge that:

(1) this Power of Attorney authorizes, but does not require, each such attorney-in-fact to act in his or her discretion on information provided to such attorney-in-fact without independent verification of such information; (2) any documents prepared and/or executed by each such attorney-in-fact on my behalf pursuant to this Power of Attorney will be in such form and will contain such information and disclosure as each such attorney-in-fact, in his or her discretion (based on advice of counsel), deems necessary or desirable; (3) neither the Company nor any of such attorneys-in-fact assumes (i) any liability for my responsibility to comply with the requirements of the Exchange Act, (ii) any personal liability accruing to me for any failure to comply with such requirements, or (iii) any personal obligation or liability accruing to me for profit disgorgement under Section 16(b) of the Exchange Act; and (4) this Power of Attorney does not relieve me from responsibility for compliance with my obligations under the Exchange Act, including without limitation the reporting requirements under Section 16 of the Exchange Act. I hereby give and grant each of the attorneys-in-fact full power and authority to do and perform each and every act and thing whatsoever requisite, necessary or appropriate to be done in and about the foregoing matters as fully to all intents and purposes as I might or could do if present, hereby ratifying all that each such attorney-in-fact of, for and on behalf of the undersigned, shall lawfully do or cause to be done by virtue of this Limited Power of Attorney. The attorneys-in-fact will not be liable for any acts of decisions made by such attorneys-in-fact in good faith and under the terms of this Limited Power of Attorney.

Any photocopy of this Limited Power of Attorney shall have the same force and

effect as the original. This Power of Attorney shall remain in full force and until the undersigned is no longer required to file Form 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by PGE, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 9th day of December 2021.